

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dongiovanni, et al.

Application No.: 09/843,364  
Filed: 4/25/2001Group No.: 1714  
Examiner: Szekely, Peter A.For: SYNERGISTIC STABILIZER COMPOSITIONS COMPRISING  
COPOLYMERS OF ETHYLENE WITH HINDERED AMINE MOIETIES  
CONTAINING ACRYLATE- OR METHACRYLATE COMONOMERSAssistant Commissioner for Patent  
Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO-1449.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report.
  - 1d. ☒ Copies of Copending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☐ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☒ In addition to the documents cited, the Office's attention is directed to the following copending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:
- a. U.S. Serial No. 10/110,660, filed 10/30/2000, Epacher, et al.
  - b. U.S. Serial No. 10/344,056, filed 8/8/2001, Malik, et al.
  - c. U.S. Serial No. 10/204,397, filed 8/22/2002, Koch, et al.
  - d. U.S. Serial No. To Be Assigned, filed 1/8/2003, Staniek

Respectfully submitted,



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<b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (37 CFR 1.98(b))	<b>ATTY. DOCKET NO.</b> 2000CH117	<b>SERIAL NO.</b> 09/843,364
<b>APPLICANT:</b> Dongiovanni, et al.		
<b>FILING DATE:</b> 4/25/01		<b>GROUP:</b> 1714

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,485,034	11/27/84	Avar, et al.	252	403	
	AB	4,550,189	10/29/85	Kelly, et al.	560	044	
	AC	4,774,332	9/27/88	Avar	544	70	
	AD	4,780,492	10/25/88	Avar	524	99	
	AF	5,919,933	7/6/99	Gaa, et al.	546	222	
	AF	5,965,716	10/12/99	Kaul, et al.	534	728	
	AG	5,969,014	10/19/99	Webster, et al.	524	100	
	AH	5,972,248	10/26/99	Stahrfeldt, et al.	252	601	
	AI	6,103,796	8/15/00	Staniek, et al.	524	100	
	AJ	6,126,861	10/3/00	Bechtold	252	401	
	AK	6,172,232	1/9/01	Stahrfeldt	546	155	
	AL	6,174,940	1/16/01	Stahrfeldt, et al.	524	99	
	AM	6,218,451	4/17/01	Bechtold, et al.	524	102	
	A4						

FOREIGN PATENT OR PUBLISHED FOREIGN APPLICATION							
		DOCUMENT NUMBER	PUB. DATE	COUNTRY			TRANSLATION
	BA						
	BB						

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication\*\*)

CA		
CB		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		